



**ARRL** The national association for  
**AMATEUR RADIO®**

Advancing the art, science, and enjoyment of Amateur Radio

May 28, 2018

- ADVOCACY
- MEMBERSHIP
- PUBLIC SERVICE
- EDUCATION
- TECHNOLOGY

Richard Norton, N6AA  
21290 Hillside Dr  
Topanga, CA, 90290-4216

Dick,

As Chair of the Elections and Ethics Committee I must inform you that a formal complaint was filed against you on 16 May, 2018. The complaint is based on the attached e-mail correspondence which was forwarded from the complainant 15 May, 2018.

The complaint affirmatively alleges that you: (1) conducted an independent investigation on your own motion of ARRL's contractual relationship with McCarthy Advanced Consulting in violation of Section 9.a. of the current ARRL Policy on Board Governance (Code of Conduct); (2) directly interfered with ARRL's contract with Frank McCarthy; (3) personally attacked both Director Lisenco and General Counsel Imlay by accusing each of multiple falsehoods; (4) libeled General Counsel Imlay by the same accusation; (5) violated Sections 1.b., 5.a., 5.b., and 5.c., 7, 9.a., and 9.g of the ARRL Policy on Board Governance and Conduct of Members of the Board of Directors and Vice Directors. Please see the attached supporting documentation.

The Ethics and Elections Committee is required to investigate these allegations given that a formal complaint was filed. You will be required to answer these allegations and the committee will objectively look at all sides of this complaint. We intend to give you every opportunity to present all material facts and any other evidence to rebut the allegations put forth in this complaint. The E & E Committee will give a fair and objective hearing on this matter.

We look forward to your prompt response.

If you have any further questions, please feel free to contact me at [k5uz@arrl.org](mailto:k5uz@arrl.org) or telephone me at 870 613-1606.

73

David A. Norris, K5UZ  
Chair- Elections and Ethics Committee.

c: Executive Committee  
Attachments: Exhibits A-E

- |   |   |  |   |
|---|---|--|---|
| ■ Rick Roderick, K5UR<br>President                                | ■ Gregory P. Widin, KØGW<br>First Vice President    | ■ Bob Vallio, W6RGG<br>Second Vice President | ■ Jay Bellows, KØQB<br>Vice President International Affairs |
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AMERICAN RADIO RELAY LEAGUE, INC.— International Secretariat of the International Amateur Radio Union